

## ANNUAL DISCLOSURE STATEMENT CONFLICT OF INTEREST University Academy

Preliminary note: This statement of disclosure also requires you to provide information with respect to certain parties that are related to you. These persons are termed “affiliated persons” and include the following:

- a. Your spouse, domestic partner, child, mother, father, brother or sister;
- b. Any corporation or organization of which you are a board member, an officer, a partner, participate in management or are employed by, or are, directly or indirectly, a debt holder or the beneficial owner of any class of equity securities; and
- c. Any trust or other estate in which you have a substantial beneficial interest or as to which you serve as a trustee or in a similar capacity.

	YES	NO
1. Have you or any of your affiliated persons provided services or property to University Academy in the past year?		✓
2. Have you or any of your affiliated persons purchased services or property from University Academy in the past year?		✓
3. Did you or any of your affiliated persons have any direct or indirect interest in any business transaction(s) in the past year to which University Academy was or is a party?	2	<del>2</del>
4. Were you or any of your affiliated persons indebted to pay money to University Academy at any time in the past year (other than pledges or payments for services)?		2
5. In the past year, did you or any of your affiliated persons receive, or become entitled to receive, directly or indirectly, any personal benefits from University Academy or as a result of your relationship with University Academy, that in the aggregate could be valued in excess of \$1,000, that were not or will not be compensation directly related to your duties to University Academy?		2
6. Are you or any of your affiliated persons at a party to or have an interest in any pending legal proceedings involving University Academy?		2
7. Do you or any of your affiliated persons sit on a board, committee or manage any agencies that University Academy funds or has funded in the past?		2
8. Do you or your affiliated persons have a family relationship, a business relationship, or financial dealings of any kind with any other trustee, officer, or key employee of the school (for example, a	2	

*Supplemental  
List*

trustee-to-trustee lease, business arrangement, investment, or other dealings not directly involving University Academy)?		⚡
9. Are you aware of any other conflicting loyalties – any events, transactions, arrangements or other situations that have occurred or may occur in the future, that could merit examination by the board of University Academy or a duly constituted committee thereof in accordance with the terms and intent of its Conflict of Interest Policy?		⚡

If you answered YES to any of the above questions, please fill out the following section (may attach additional sheets if needed):

Name of Interested Person	Relationship between Interested person University Academy	Amount of Transaction	Description of Transaction
3- Shirley Bush Helzberg - spouse Bush Helzberg - son		Monthly lease	Lease
8- Bush Helzberg - son Shirley Bush Helzberg - spouse		to be determined - shareholder varies - share holder, cattle business	

LAST NAME: BARNETT HELZBERG

DATE: Aug 8, 2020

**Conflict of Interest Disclosure Statement Signature Page**

BARNETT C. Helzberg, Jr.  
FULL NAME of Trustee or employee (Please print)

**CAPACITY: (Check ALL that apply.)**

- Member, Board of Trustees
- Officer, Board of Trustees
- Executive Committee Member, Board of Trustees
- Non-trustee, serving on (name of committee: \_\_\_\_\_)
- Staff: (position \_\_\_\_\_)

I HEREBY CONFIRM that I have read and understand University Academy's Conflict of Interest Policy. My responses to the above questions are complete and correct to the best of my information and belief. I agree that if I become aware of any information that might indicate that the disclosure is inaccurate or that I have not complied with this policy, I will notify the Board President immediately.

  
Signature

Aug 8, 2020  
Date

**ANNUAL DISCLOSURE STATEMENT  
CONFLICT OF INTEREST  
University Academy**

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- b. Any corporation or organization of which you are a board member, an officer, a partner, participate in management or are employed by, or are, directly or indirectly, a debt holder or the beneficial owner of any class of equity securities; and
- c. Any trust or other estate in which you have a substantial beneficial interest or as to which you serve as a trustee or in a similar capacity.

	YES	NO
1. Have you or any of your affiliated persons provided services or property to University Academy in the past year?	✓	<del>✓</del>
2. Have you or any of your affiliated persons purchased services or property from University Academy in the past year?		✓
3. Did you or any of your affiliated persons have any direct or indirect interest in any business transaction(s) in the past year to which University Academy was or is a party?	✓	<del>✓</del>
4. Were you or any of your affiliated persons indebted to pay money to University Academy at any time in the past year (other than pledges or payments for services)?		✓
5. In the past year, did you or any of your affiliated persons receive, or become entitled to receive, directly or indirectly, any personal benefits from University Academy or as a result of your relationship with University Academy, that in the aggregate could be valued in excess of \$1,000, that were not or will not be compensation directly related to your duties to University Academy?		✓
6. Are you or any of your affiliated persons at a party to or have an interest in any pending legal proceedings involving University Academy?		✓
7. Do you or any of your affiliated persons sit on a board, committee or manage any agencies that University Academy funds or has funded in the past?		✓
8. Do you or your affiliated persons have a family relationship, a business relationship, or financial dealings of any kind with any other trustee, officer, or key employee of the school (for example, a trustee-to-trustee lease, business arrangement, investment, or other dealings not directly involving University Academy)?	✓	

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<p>9. Are you aware of any other conflicting loyalties – any events, transactions, arrangements or other situations that have occurred or may occur in the future, that could merit examination by the board of University Academy or a duly constituted committee thereof in accordance with the terms and intent of its Conflict of Interest Policy?</p>		✓
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If you answered YES to any of the above questions, please fill out the following section (may attach additional sheets if needed):

Name of Interested Person	Relationship between Interested person University Academy	Amount of Transaction	Description of Transaction

LAST NAME: Helzburg, BUSH

DATE: 9/8/20

8.) BARNETT & SHIRLEY HELZBERG  
 ARE ALSO BOARD MEMBERS.

THEY ARE MY PARENTS!

1/3.) I AM <sup>ALSO</sup> A DIRECTOR OF  
 THE UNIVERSITY ACADEMY FOUNDATION  
 WHICH <sup>UNIVERSITY ACADEMY</sup> SUBLEAS  
 SOME OFFICE SPACE FROM.  
 (FKA FRIENDS OF UJA)

**Conflict of Interest Disclosure Statement Signature Page**

BUSH HELZBERG

FULL NAME of Trustee or employee (Please print)

**CAPACITY: (Check ALL that apply.)**

- Member, Board of Trustees
- Officer, Board of Trustees
- Executive Committee Member, Board of Trustees
- Non-trustee, serving on (name of committee: \_\_\_\_\_)
- Staff: (position \_\_\_\_\_)

I HEREBY CONFIRM that I have read and understand University Academy's Conflict of Interest Policy. My responses to the above questions are complete and correct to the best of my information and belief. I agree that if I become aware of any information that might indicate that the disclosure is inaccurate or that I have not complied with this policy, I will notify the Board President immediately.

Signature

Date

## ANNUAL DISCLOSURE STATEMENT CONFLICT OF INTEREST University Academy

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- b. Any corporation or organization of which you are a board member, an officer, a partner, participate in management or are employed by, or are, directly or indirectly, a debt holder or the beneficial owner of any class of equity securities; and
- c. Any trust or other estate in which you have a substantial beneficial interest or as to which you serve as a trustee or in a similar capacity.

	YES	NO
1. Have you or any of your affiliated persons provided services or property to University Academy in the past year?		✓
2. Have you or any of your affiliated persons purchased services or property from University Academy in the past year?		✓
3. Did you or any of your affiliated persons have any direct or indirect interest in any business transaction(s) in the past year to which University Academy was or is a party?	✓	
4. Were you or any of your affiliated persons indebted to pay money to University Academy at any time in the past year (other than pledges or payments for services)?		✓
5. In the past year, did you or any of your affiliated persons receive, or become entitled to receive, directly or indirectly, any personal benefits from University Academy or as a result of your relationship with University Academy, that in the aggregate could be valued in excess of \$1,000, that were not or will not be compensation directly related to your duties to University Academy?		✓
6. Are you or any of your affiliated persons at a party to or have an interest in any pending legal proceedings involving University Academy?		✓
7. Do you or any of your affiliated persons sit on a board, committee or manage any agencies that University Academy funds or has funded in the past?		✓
8. Do you or your affiliated persons have a family relationship, a business relationship, or financial dealings of any kind with any other trustee, officer, or key employee of the school (for example, a	✓	

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trustee-to-trustee lease, business arrangement, investment, or other dealings not directly involving University Academy)?		
9. Are you aware of any other conflicting loyalties – any events, transactions, arrangements or other situations that have occurred or may occur in the future, that could merit examination by the board of University Academy or a duly constituted committee thereof in accordance with the terms and intent of its Conflict of Interest Policy?		✓

If you answered YES to any of the above questions, please fill out the following section (may attach additional sheets if needed):

Name of Interested Person	Relationship between Interested person University Academy	Amount of Transaction	Description of Transaction
3- Barnett C. Helzberg Bush Helzberg	- spouse - SON	Monthly Lease	Lease
8- Bush Helzberg Barnett Helzberg	- son - spouse	to be determined - varies	- shareholder share holder, cattle business

LAST NAME: Helzberg, Shirley

DATE: Aug 8, 2020



**Conflict of Interest Disclosure Statement Signature Page**

Shirley Bush Helzberg  
FULL NAME of Trustee or employee (Please print)

**CAPACITY: (Check ALL that apply.)**

- Member, Board of Trustees
- Officer, Board of Trustees
- Executive Committee Member, Board of Trustees
- Non-trustee, serving on (name of committee: \_\_\_\_\_)
- Staff: (position \_\_\_\_\_)

I HEREBY CONFIRM that I have read and understand University Academy's Conflict of Interest Policy. My responses to the above questions are complete and correct to the best of my information and belief. I agree that if I become aware of any information that might indicate that the disclosure is inaccurate or that I have not complied with this policy, I will notify the Board President immediately.

Shirley Bush Helzberg                      8/8/20  
Signature    Date

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University Academy**

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- b. Any corporation or organization of which you are a board member, an officer, a partner, participate in management or are employed by, or are, directly or indirectly, a debt holder or the beneficial owner of any class of equity securities; and
- c. Any trust or other estate in which you have a substantial beneficial interest or as to which you serve as a trustee or in a similar capacity.

	<b>YES</b>	<b>NO</b>
1. Have you or any of your affiliated persons provided services or property to University Academy in the past year?		X
2. Have you or any of your affiliated persons purchased services or property from University Academy in the past year?		X
3. Did you or any of your affiliated persons have any direct or indirect interest in any business transaction(s) in the past year to which University Academy was or is a party?		X
4. Were you or any of your affiliated persons indebted to pay money to University Academy at any time in the past year (other than pledges or payments for services)?		X
5. In the past year, did you or any of your affiliated persons receive, or become entitled to receive, directly or indirectly, any personal benefits from University Academy or as a result of your relationship with University Academy, that in the aggregate could be valued in excess of \$1,000, that were not or will not be compensation directly related to your duties to University Academy?		X
6. Are you or any of your affiliated persons at a party to or have an interest in any pending legal proceedings involving University Academy?		X

<p>7. Do you or any of your affiliated persons sit on a board, committee or manage any agencies that University Academy funds or has funded in the past?</p>		<p>X</p>
<p>8. Do you or your affiliated persons have a family relationship, a business relationship, or financial dealings of any kind with any other trustee, officer, or key employee of the school (for example, a trustee-to-trustee lease, business arrangement, investment, or other dealings not directly involving University Academy)?</p>		<p>X</p>

<p>9. Are you aware of any other conflicting loyalties – any events, transactions, arrangements or other situations that have occurred or may occur in the future, that could merit examination by the board of University Academy or a duly constituted committee thereof in accordance with the terms and intent of its Conflict of Interest Policy?</p>		X
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If you answered YES to any of the above questions, please fill out the following section (may attach additional sheets if needed):

Name of Interested Person	Relationship between Interested person University Academy	Amount of Transaction	Description of Transaction

**LAST NAME:**     Gerson    

**DATE:**     8-18-20


## Conflict of Interest Disclosure Statement Signature Page

Katharine Kwo Gerson  
FULL NAME of Trustee or employee (Please print)

### CAPACITY: (Check ALL that apply.)

- Member, Board of Trustees -YES
- Officer, Board of Trustees- YES
- Executive Committee Member, Board of Trustees -YES
- Non-trustee, serving on (name of committee: \_\_\_\_\_)
- Staff: (position \_\_\_\_\_)

I HEREBY CONFIRM that I have read and understand University Academy's Conflict of Interest Policy. My responses to the above questions are complete and correct to the best of my information and belief. I agree that if I become aware of any information that might indicate that the disclosure is inaccurate or that I have not complied with this policy, I will notify the Board President immediately.

  
Signature

8-18-20  
Date

**ANNUAL DISCLOSURE STATEMENT  
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University Academy**

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- c. Any trust or other estate in which you have a substantial beneficial interest or as to which you serve as a trustee or in a similar capacity.

	<b>YES</b>	<b>NO</b>
1. Have you or any of your affiliated persons provided services or property to University Academy in the past year?		x
2. Have you or any of your affiliated persons purchased services or property from University Academy in the past year?		x
3. Did you or any of your affiliated persons have any direct or indirect interest in any business transaction(s) in the past year to which University Academy was or is a party?		x
4. Were you or any of your affiliated persons indebted to pay money to University Academy at any time in the past year (other than pledges or payments for services)?		x
5. In the past year, did you or any of your affiliated persons receive, or become entitled to receive, directly or indirectly, any personal benefits from University Academy or as a result of your relationship with University Academy, that in the aggregate could be valued in excess of \$1,000, that were not or will not be compensation directly related to your duties to University Academy?		x
6. Are you or any of your affiliated persons at a party to or have an interest in any pending legal proceedings involving University Academy?		x
7. Do you or any of your affiliated persons sit on a board, committee or manage any agencies that University Academy funds or has funded in the past?		x
8. Do you or your affiliated persons have a family relationship, a business relationship, or financial dealings of any kind with any other trustee, officer, or key employee of the school (for example, a trustee-to-trustee lease, business arrangement, investment, or other dealings not directly involving University Academy)?		x

<p>9. Are you aware of any other conflicting loyalties – any events, transactions, arrangements or other situations that have occurred or may occur in the future, that could merit examination by the board of University Academy or a duly constituted committee thereof in accordance with the terms and intent of its Conflict of Interest Policy?</p>		<p>x</p>
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If you answered YES to any of the above questions, please fill out the following section (may attach additional sheets if needed):

Name of Interested Person	Relationship between Interested person University Academy	Amount of Transaction	Description of Transaction

LAST NAME: Greenbaum

DATE: 8/25/20

## Conflict of Interest Disclosure Statement Signature Page

Laura Greenbaum  
FULL NAME of Trustee or employee (Please print)

### CAPACITY: (Check ALL that apply.)

- XMember, Board of Trustees
- Officer, Board of Trustees
- Executive Committee Member, Board of Trustees
- Non-trustee, serving on (name of committee:  
\_\_\_\_\_)
- Staff: (position  
\_\_\_\_\_)

I HEREBY CONFIRM that I have read and understand University Academy's Conflict of Interest Policy. My responses to the above questions are complete and correct to the best of my information and belief. I agree that if I become aware of any information that might indicate that the disclosure is inaccurate or that I have not complied with this policy, I will notify the Board President immediately.

  
Signature

Date 8/25/20



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University Academy**

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- c. Any trust or other estate in which you have a substantial beneficial interest or as to which you serve as a trustee or in a similar capacity.

	YES	NO
1. Have you or any of your affiliated persons provided services or property to University Academy in the past year?	✓	
2. Have you or any of your affiliated persons purchased services or property from University Academy in the past year?		✓
3. Did you or any of your affiliated persons have any direct or indirect interest in any business transaction(s) in the past year to which University Academy was or is a party?		✓
4. Were you or any of your affiliated persons indebted to pay money to University Academy at any time in the past year (other than pledges or payments for services)?		✓
5. In the past year, did you or any of your affiliated persons receive, or become entitled to receive, directly or indirectly, any personal benefits from University Academy or as a result of your relationship with University Academy, that in the aggregate could be valued in excess of \$1,000, that were not or will not be compensation directly related to your duties to University Academy?		✓
6. Are you or any of your affiliated persons at a party to or have an interest in any pending legal proceedings involving University Academy?		✓
7. Do you or any of your affiliated persons sit on a board, committee or manage any agencies that University Academy funds or has funded in the past?		✓
8. Do you or your affiliated persons have a family relationship, a business relationship, or financial dealings of any kind with any other trustee, officer, or key employee of the school (for example, a trustee-to-trustee lease, business arrangement, investment, or other dealings not directly involving University Academy)?		✓

<p>9. Are you aware of any other conflicting loyalties – any events, transactions, arrangements or other situations that have occurred or may occur in the future, that could merit examination by the board of University Academy or a duly constituted committee thereof in accordance with the terms and intent of its Conflict of Interest Policy?</p>		<input checked="" type="checkbox"/>
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If you answered YES to any of the above questions, please fill out the following section (may attach additional sheets if needed):

Name of Interested Person	Relationship between Interested person University Academy	Amount of Transaction	Description of Transaction
Lilay Warrior	Alumnus / Board Member	\$2,000	Print work

LAST NAME: Warrior

DATE: 9-11-20

**Conflict of Interest Disclosure Statement Signature Page**

LeRay Warrior  
FULL NAME of Trustee or employee (Please print)

**CAPACITY: (Check ALL that apply.)**

- Member, Board of Trustees
- Officer, Board of Trustees
- Executive Committee Member, Board of Trustees
- Non-trustee, serving on (name of committee: \_\_\_\_\_)
- Staff: (position \_\_\_\_\_)

I HEREBY CONFIRM that I have read and understand University Academy's Conflict of Interest Policy. My responses to the above questions are complete and correct to the best of my information and belief. I agree that if I become aware of any information that might indicate that the disclosure is inaccurate or that I have not complied with this policy, I will notify the Board President immediately.

LeRay Warrior  
Signature

9-11-10  
Date